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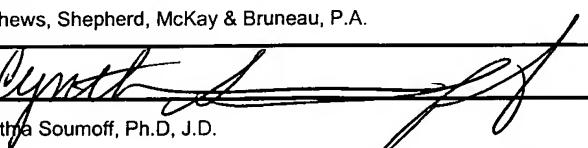
## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/686,801
	Filing Date	October 16, 2003
	First Named Inventor	Shibnath GHOSAL
	Art Unit	1617
	Examiner Name	HUYNH, Carlic K.
Total Number of Pages in This Submission	4822-129 US	

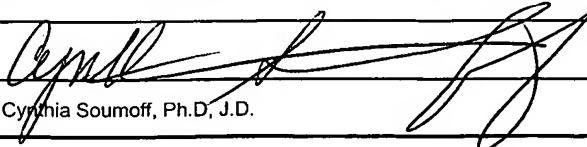
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please Identify below):
Remarks		
1. Information Disclosure Statement (2 pages) 2. PTO-1449 (1 page) 3. One (1) reference		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Mathews, Shepherd, McKay & Bruneau, P.A.		
Signature			
Printed name	Cynthia Soumoff, Ph.D., J.D.		
Date	January 30, 2007	Reg. No.	38,314

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Cynthia Soumoff, Ph.D., J.D.		
	Date	January 30, 2007	

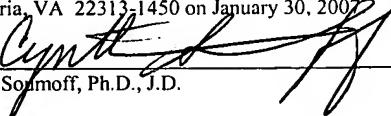
This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Docket No. 4822-129 US

The undersigned certifies that this communication is being deposited with the United States Postal Service as prepaid first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 30, 2007.

  
Cynthia Sosnoff, Ph.D., J.D.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of : Customer No.  
Shibnath GHOSAL : 26817  
Serial No. 10/686,801 : Group Art Unit: 1617  
Filed: October 16, 2003 : Examiner: HUYNH, Carlic K.  
Title: PHENOLIC ANTIOXIDANT-CHROMIUM : Confirmation No. 7933  
COMPLEXES FOR TREATMENT OR  
PREVENTION OF TYPE-2 DIABETES OR  
GLUCOSE INTOLERANCE

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x

Commissioner for Patents  
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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant hereby brings to the attention of the United States Patent and Trademark Office the following documents of which Applicant has been made aware. The documents are listed on the attached PTO Form 1449.

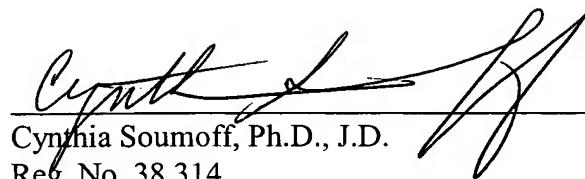
Pursuant to the OG Notice of August 5, 2003 for U.S. national applications filed after June 30, 2003, as the requirement for submitting a copy of each cited U.S. patent and each cited U.S. patent application publication is waived, copies of the U.S. patents and U.S. patent application publications are not submitted. Copies of foreign patent documents and non-patent literature, if cited, are enclosed.

Applicant submits that this Information Disclosure Statement is submitted prior to a first action on the merits.

It is requested that the references be considered by the Examiner and be made of record as part of the available prior art under 37 C.F.R. § 1.104.

Respectfully submitted,

Dated: January 30, 2007

  
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Attorney for Applicant

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<p style="text-align: center;"><b>O I P INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> <p style="text-align: center;">FEB 05 2007</p> <p style="text-align: center;">U.S. PATENT AND TRADEMARK OFFICE</p>				ATTY DOCKET NO. <b>4822-129 US</b>		APPLICATION NO. <b>10/686,801</b>	
				APPLICANT(S) <b>Shibnath GHOSAL</b>			
				FILING DATE <b>October 16, 2003</b>		GROUP ART UNIT <b>1617</b>	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	<b>5,087,623</b>	02/11/1992	Boynton et al.	<b>514</b>	<b>188</b>	<b>03/21/1989</b>	
	<b>5,087,024</b>	02/11/1992	Boynton et al.	<b>514</b>	<b>188</b>	<b>07/13/1990</b>	
	<b>5,175,156</b>	12/29/1992	Boynton et al.	<b>514</b>	<b>188</b>	<b>12/09/1991</b>	
	<b>6,124,268</b>	09/26/2000	Ghosal, S.	<b>514</b>	<b>27</b>	<b>02/17/1999</b>	
	<b>6,329,361</b>	12/11/2001	McCarty, M.	<b>514</b>	<b>188</b>	<b>05/12/1995</b>	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
		<b>Okuda et al., "Hydrolyzable Tannins and Related Polyphenols", Progress In The Chemistry of Organic Natural Products, (1995), Vol. 66, pp. 1-117</b>					
EXAMINER				DATE CONSIDERED			
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							

<b>O I P INFORMATION DISCLOSURE CITATION</b> <small>(Use several sheets if necessary)</small> <small>FEB 05 2007</small>				ATTY DOCKET NO. 4822-129 US	APPLICATION NO. 10/686,801		
				<b>Shibnath GHOSAL</b> <b>FILING</b> <b>October 16, 2003</b> <b>GROUP ART</b> <b>1617</b>			
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	DEPT	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,440,436	08/27/2002	Ghosal, S.	424	401	05/18/2001
		6,558,712	05/06/2003	Ghosal, S.	424	725	09/21/2001
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES
							NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							